

Practitioner's Docket No.: 800\_024 DIV

#3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Michio TAKAHASHI

Ser. No.: 10/037,409

Filed: January 4, 2002

INVENTOR: LITHIUM SECONDARY BATTERY

Assistant Commissioner for Patents  
Washington, DC 20231

"EXPRESS MAIL" mailing label number EL872579538US\.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Assistant Commissioner for Patents, Washington D.C. 20231 on January 29, 2002.

*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. These references were cited during prosecution of parent application U.S. Ser. No. 09/495,065, and copies of each of the references can be found in the PTO file for the parent application.

On the attached Form PTO-1449, applicants have cited copending application Ser. No. 09/997,604, filed November 29, 2001, which is a continuation of U.S. Ser. No. 09/406,592 (now abandoned). The '592 was cited during prosecution of parent application U.S. Ser. No. 09/495,065.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these listed references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

January 29, 2002  
Date

*Stephen P. Burr*  
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01-31-02

04 DU  
02/01/02**PATENT AMENDMENT TRANSMITTAL**

(Provisions of 37 CFR 1.136 Apply)

#4

Application Number	10/037,409	Filing Date	January 4, 2002
Group Art Unit	Not Assigned	Examiner Name	Not Assigned
Confirmation No.		Attorney Docket No.	800_024 DIV
Inventor(s)	Michio TAKAHASHI		
Invention	LITHIUM SECONDARY BATTERY		

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

**CLAIMS AS AMENDED**

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee
TOTAL CLAIMS	11	MINUS	20	0	\$18.00	\$00.00
INDEP. CLAIMS	1	MINUS	3	0	\$84.00	\$00.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>						<b>\$00.00</b>

**EXTENSION OF TERM**

- ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
- ☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:
- |   |          |  |
|---|----------|--|
| <input type="checkbox"/> One Month (37 CFR 1.17(a)(1) .....   | \$110.00 |  |
| <input type="checkbox"/> Two Month (37 CFR 1.17(a)(2) .....   | \$400.00 |  |
| <input type="checkbox"/> Three Month (37 CFR 1.17(a)(3) ..... | \$920.00 |  |

**TOTAL FEES DUE**

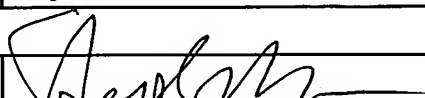
\$00.00

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

**FEE PAYMENT**

- ☒ No additional fee is required.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Charge Deposit Account 50-1446 in the amount of \$\_\_\_\_\_. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

**Submitted By:**

Name (Print Type)	Stephen P. Burr	Reg. No.	32,970	Customer No.	025191
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Signature				Date	January 29, 2002

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Elizabeth A. VanAntwerp